

### In terms of the Financial Advisory and Intermediary Services (FAIS) Act, 2002

YOUR FINANCIAL SERVICE PROVIDER | FSCA Number: 35134

**Physical address:** 3rd Floor The Terraces, 25 Protea Road, Claremont, Cape Town, 7708

**Tel Number:** +27 (0)21 203 0081  
**Email:** info@currencypartners.co.za  
**Website:** www.currencypartners.co.za

#### LEGAL STATUS OF PROVIDER

Currency Partners (Pty) Ltd was converted to a private limited company, previously trading as a closed corporation (cc) formed in 2008 with CK number 2008/034875/23. Eike Feltz is the sole Director and meets the "fit and proper" requirements, as prescribed by FAIS, to assist you with the financial services offered by Currency Partners (Pty) Ltd.

#### DETAILS OF KEY INDIVIDUALS

**Name:** Eike Feltz **Tel Number:** +27 (0)21 203 0081  
**Email:** Eike.Feltz@currencypartners.co.za  
**Qualifications:** BBusSci; RE1 and RE5 regulatory examination passed

**Name:** Jonathan Racussen **Tel Number:** +27 (0)21 203 0081  
**Email:** Jonathan.Racussen@currencypartners.co.za  
**Qualifications:** BBusSci; RE1 and RE5 regulatory examination passed

#### DETAILS OF REPRESENTATIVES

**Name:** Eike Feltz **Tel Number:** +27 (0)21 203 0081  
**Email:** Eike.Feltz@currencypartners.co.za  
**Qualifications:** BBusSci; RE1 and RE5 regulatory examination passed

**Name:** Jonathan Racussen **Tel Number:** +27 (0)21 203 0081  
**Email:** Jonathan.Racussen@currencypartners.co.za  
**Qualifications:** BBusSci; RE1 and RE5 regulatory examination passed

**Name:** Lauren Walker **Tel Number:** +27 (0)21 203 0081  
**Email:** Lauren.Walker@currencypartners.co.za  
**Qualifications:** CIB NQF Level 5; RE5 regulatory examination passed

**Name:** Tania Mancini **Tel Number:** +27 (0)21 203 0081  
**Email:** Tania.Mancini@currencypartners.co.za  
**Qualifications:** Higher Certificate; CIB NQF Level 5; RE5 regulatory examination passed

**Name:** Brett O'Connor **Tel Number:** +27 (0)21 203 0081  
**Email:** Brett.Oconnor@currencypartners.co.za  
**Qualifications:** Management Dev NQF Level 5; RE5 regulatory examination passed

**Name:** Gareth Jones **Tel Number:** +27 (0)21 203 0081  
**Email:** Gareth.Jones@currencypartners.co.za  
**Qualifications:** BComm; RE5 regulatory examination passed

**Name:** Johanna-Mari Pretorius **Tel Number:** +27 (0)21 203 0081  
**Email:** Hanri.Pretorius@currencypartners.co.za  
**Qualifications:** BCom; RE1 and RE5 regulatory examination passed

**Name:** Maya Brown **Tel Number:** +27 (0)21 203 0081  
**Email:** Maya.Brown@currencypartners.co.za  
**Qualifications:** BCom; BComHons; RE5 regulatory examination passed

**Name:** Angela Paget **Tel Number:** +27 (0)21 203 0081  
**Email:** angela.paget@currencypartners.co.za  
**Qualifications:** RE5 regulatory examination passed, CIB NQF Level 5

**Name:** Leigh Holing **Tel Number:** +27 (0)21 203 0081  
**Email:** leigh.holing@currencypartners.co.za  
**Qualifications:** RE5 regulatory examination passed

**Name:** Gustav Eichstadt **Tel Number:** +27 (0)21 203 0081  
**Email:** Gustav.Eichstadt@currencypartners.co.za  
**Qualifications:** BCom; RE5 regulatory examination passed

**Name:** Alre Smith **Tel Number:** +27 (0)21 203 0081  
**Email:** alre.smith@currencypartners.co.za  
**Qualifications:** Bachelor Of Commerce In Law, RE5 examination passed

**Name:** Aurelia Obermeyer **Tel Number:** +27 (0)21 203 0081  
**Email:** aurelia.obermeyer@currencypartners.co.za  
**Qualifications:** National Certificate Banking NQF Level 5 and 6, RE5 examination passed

**Name:** Naike Burger **Tel Number:** +27 (0)21 203 0081  
**Email:** naike.burger@currencypartners.co.za  
**Qualifications:** RE5 examination passed

**Name:** Anabella Farren **Tel Number:** +27 (0)21 203 0081  
**Email:** anabella.farren@currencypartners.co.za  
**Qualifications:** RE5 examination passed

**Name:** Cal Butler **Tel Number:** +27 (0)21 203 0081  
**Email:** cal.butler@currencypartners.co.za  
**Qualifications:** RE5 examination passed

#### DETAILS OF REPRESENTATIVES UNDER SUPERVISION

**Name:** Gerard Le Roux **Tel Number:** +27 (0)21 203 0081  
**Email:** gerard.leroux@currencypartners.co.za  
**Qualification:** Bachelor of Law (LLB.) and Bachelor of Science (BSc.) honours degrees

**Name:** Nerissa Boonzaaier **Tel Number:** +27 (0)21 203 0081  
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**Name:** Kirsten Kratz **Tel Number:** +27 (0)21 203 0081  
**Email:** Kirsten.Kratz@currencypartners.co.za  
**Qualifications:** BCoH

**Name:** Conrad Nortje **Tel Number:** +27 (0)21 203 0081  
**Email:** Conrad.nortje@currencypartners.co.za  
**Qualifications:** BCom (Marketing & Management)

**Name:** Kristin Meise **Tel Number:** +27 (0)21 203 5869  
**Email:** Kristin.meise@currencypartners.co.za  
**Qualifications:** BCom, Management Studies

#### LEGAL STATUS

Licensed as a Financial Service Provider in terms of Section 8 of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002) subject to the conditions and restrictions set out in the license Annexure. Eike, directly or indirectly, holds 10% of a product supplier's shares or have any financial interest in a product supplier. Currency Partners holds Professional Indemnity cover. Copies of these schedules are available on request.

#### DETAILS OF COMPLIANCE, COMPLAINT PROCESS AND FAIS OMBUD

##### Currency Partners compliance

Masthead (Pty) Ltd is our appointed Compliance Officer

**Address:** 1st Floor, Gijima Terraces, Golf Park (off Raapenberg Road), Mowbray, 7700  
**Tel Number:** +27 (0)21 686 3588 **Fax Number:** +27 (0)21 686 3589  
**Email:** info@masthead.co.za

#### Currency Partners (Pty) Ltd

**T** +27 (0)21 203 0081 **E** enquiries@currencypartners.co.za **W** currencypartners.co.za

**CT** The Terraces, 25 Protea Road, Claremont, 7806 Cape Town **JHB** 16 Baker Street, Rosebank, 2196 Johannesburg

**DBN** Unit 5, Block 3, MRM Office Park, 10 Village Rd, Kloof, 3610 Durban

**Directors** E J Feltz & B V Voogt **Reg No.** 2015/374045/07 **VAT No.** 4890269311

Licensed by the FSCA as an authorised Financial Services Provider (No. 35134). Authorised by the SARB to act as a Foreign Exchange Intermediary (No.1431).

### CURRENCY PARTNERS COMPLAINTS AND CONFLICTS OF INTEREST

If you have a complaint related query, please do not hesitate to contact our complaints department on 086 002 2007. Megan Charlier will be able to assist you and provide you with full details of Currency Partners' complaints handling process, if so required. A copy of our complaints resolution policy and a copy of our policy on management of conflicts of interest together with the conflicts registers are available for perusal on request. Should a complaint not be resolved to your satisfaction, you may forward such complaint to the Office of the Ombud for Financial Services Providers.

### OMBUD FOR FINANCIAL SERVICES PROVIDER

**Address:** PO Box 74571, Lynnwoodridge, 0040  
**Tel Number:** 086 032 4766 **Fax Number:** +27 (0)12 348 3447  
**Email:** info@faisombud.co.za

### CONFIDENTIALITY

All information furnished by the client to Currency Partners shall be treated as confidential and shall be used only for the purpose of providing financial advice and rendering of intermediary services. Neither Currency Partners nor any of its representatives, key individuals or staff may disclose any confidential information acquired or obtained from a client or product supplier, subject to FAIS section 4(1), in regard to such client or supplier, unless the written consent of the client or product supplier has been obtained beforehand or disclosure of the information is required in the public interest or under any law.

### OTHER MATTERS OF IMPORTANCE

1. Do not sign any blank or partially completed application forms. Do not leave blank spaces. Keep and read all documents handed to you.
2. In terms of the Financial Intelligence Centre Act, Currency Partners is obliged to report any suspicious and unusual transactions that may facilitate money laundering.
3. It is important that you are absolutely sure that the transaction meets your needs and that you feel you have all the information you need before making a decision.

### FINANCIAL SERVICES AND PRODUCTS

As an authorised Financial Services Provider, Currency Partners has been licensed by the Financial Services Conduct Authority in terms of the FAIS Act, to render advice and intermediary services in respect of the following financial products:

Category Description	Advice	Intermediary
<b>Category I</b>		
<b>Securities and instruments: Derivative instruments</b>	X	X
<b>Deposit Defined in the Banks Act—exceeding 12 months</b>	X	X
<b>Deposit Defined in the Banks Act—12 months or less</b>	X	X

I have a contractual relationship with and I am authorised to recommend the products covered in my letters of authority with the following insurers / investment houses:  
**Investec** (Foreign exchange and deposits); **Mercantile** (Foreign exchange and deposits);  
**Bidvest** (Foreign exchange and deposits).

### TREATING CUSTOMERS FAIRLY POLICY

Our Treating Customers Fairly policy is centered around the guidelines provided by the Financial Sector Conduct Authority to ensure we consistently deliver fair outcomes to our clients and take responsibility for the business and staff providing an enhanced service quality to clients, based on a culture of openness and transparency. As a business, we take the requirements of the FSCA seriously, in particular, the requirement to treat customers fairly.

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