

In terms of the Financial Advisory and Intermediary Services (FAIS) Act, 2002

YOUR FINANCIAL SERVICE PROVIDER

Name: Currency Partners (Pty) Ltd
Registration Number: 2015/374045/07
FSB number: 35134
Physical address: Suite 9, 2nd Floor, Madison Place, Alphen Business Park,
1 Constantia Road, Constantia, Cape Town 7806, South Africa
Tel Number: +27 (0)86 002 2007 **Fax Number:** (086) 724 1495
Email address: info@currencypartners.co.za
Internet Site: www.currencypartners.co.za

LEGAL STATUS OF PROVIDER

Currency Partners (Pty) Ltd was converted to a private limited company, previously trading as a closed corporation (cc) formed in 2008 with CK number 2008/034875/23. Brendon Voogt and Eike Feltz are the sole Directors and both meet the "fit and proper" requirements, as prescribed by FAIS, to assist you with the financial services offered by Currency Partners (Pty) Ltd.

DETAILS OF KEY INDIVIDUALS

Name: Jason Cooper **Tel Number:** +27 (0)86 002 2007
Email address: co@currencypartners.co.za
Qualifications: CFP; BCOM; RE1 and RE5 regulatory examination passed

DETAILS OF KEY INDIVIDUALS

Name: Brendon Voogt **Tel Number:** +27 (0)86 002 2007
Email address: brendon@currencypartners.co.za
Qualifications: PG Fin Planning; RE1 and RE5 regulatory examination passed

DETAILS OF KEY INDIVIDUALS

Name: Jonathan Racussen **Tel Number:** +27 (0)86 002 2007
Email address: jonathan@currencypartners.co.za
Qualifications: BBusSci; RE1 and RE5 regulatory examination passed

DETAILS OF REPRESENTATIVE

Name: Jason Cooper **Tel Number:** +27 (0)86 002 2007
Email address: co@currencypartners.co.za
Qualifications: CFP; BCOM; RE1 and RE5 regulatory examination passed

DETAILS OF REPRESENTATIVE

Name: Brendon Voogt **Tel Number:** +27 (0)86 002 2007
Email address: brendon@currencypartners.co.za
Qualifications: PG Fin Planning; RE1 and RE5 regulatory examination passed

DETAILS OF REPRESENTATIVE

Name: Jonathan Racussen **Tel Number:** +27 (0)86 002 2007
Email address: jonathan@currencypartners.co.za
Qualifications: BBusSci; RE1 and RE5 regulatory examination passed

DETAILS OF REPRESENTATIVE UNDER SUPER VISION

Name: Michael Jeenes **Tel Number:** +27 (0)86 002 2007
Email address: mike@currencypartners.co.za

DETAILS OF REPRESENTATIVE UNDER SUPER VISION

Name: Eike Feltz **Tel Number:** +27 (0)86 002 2007
Email address: eike@currencypartners.co.za

DETAILS OF REPRESENTATIVE UNDER SUPER VISION

Name: Paris Valakelis **Tel Number:** +27 (0)86 002 2007
Email address: paris@currencypartners.co.za

DETAILS OF REPRESENTATIVE UNDER SUPER VISION

Name: Louise Van Rensburg **Tel Number:** +27 (0)86 002 2007
Email address: lous@currencypartners.co.za

DETAILS OF REPRESENTATIVE UNDER SUPER VISION

Name: Michelle Van Petegem **Tel Number:** +27 (0)86 002 2007
Email address: michelle@currencypartners.co.za

LEGAL STATUS

- Licensed as a Financial Service Provider in terms of Section 8 of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002) subject to the conditions and restrictions set out in the license Annexure.
- Jason is a member of the Financial Planning Institute.
- Neither Brendon, Jason or Eike directly or indirectly hold 10% of a product supplier shares or have any financial interest in a product supplier.
- Currency Partners holds Professional Indemnity cover: 2000/24348 and Fidelity cover 2000/23846/15.

DETAILS OF COMPLIANCE, COMPLIANT PROCESS AND FAIS OMBUD

Currency Partners compliance

Masthead (Pty) Ltd is our appointed Compliance Officer
Address: 1st Floor, Gijima Terraces, Golf Park
(off Raapenberg Road), Mowbray 7700
Tel Number: 021 686 3588 **Fax Number:** 021 686 3589
Email address: info@masthead.co.za

CURRENCY PARTNERS COMPLAINTS AND CONFLICTS OF INTEREST

If you do have a complaint related query, please do not hesitate to contact our complaints department on telephone number (086) 002 2007 or facsimile (086) 724 1495, Jason Cooper will be able to assist you and provide you with full details of Currency Partners' complaints handling process, if so required. A copy of our complaints resolution policy and a copy of our policy on management of conflicts of interest together with the conflicts registers are available for perusal on request. Should a complaint not be resolved to your satisfaction, you may forward such complaint to the Office of the Ombud for Financial Services Providers.

OMBUD FOR FINANCIAL SERVICES PROVIDER

Address: P.O. Box 74571, Lynnwoodridge, 0040
Tel Number: 0860 324 766 **Fax Number:** 012 348 3447
Email address: info@faisombud.co.za

Please note that, if you wish to lodge a complaint with the FAIS Ombud you will need to show that you have already attempted to resolve the matter directly with Currency Partners.

CONFIDENTIALITY

All information furnished by the client to Currency Partners shall be treated as confidential and shall be used only for the purpose of providing financial advice and rendering of intermediary services. Neither Currency Partners nor any of its representatives, key individuals or staff may disclose any confidential information acquired or obtained from a client or product supplier, subject to FAIS section 4(1), in regard to such client or supplier, unless the written consent of the client or product supplier has been obtained beforehand or disclosure of the information is required in the public interest or under any law.

OTHER MATTERS OF IMPORTANCE

- Do not sign any blank or partially completed application forms. Do not leave blank spaces. Complete all forms in ink. Keep and Read all documents handed to you.
- In terms of the Financial Intelligence Centre Act, Currency Partners is obliged to report any suspicious and unusual transactions that may facilitate money laundering.
- It is important that you are absolutely sure that the transaction meets your needs and that you feel you have all the information you need before making a decision.

FINANCIAL SERVICES AND PRODUCTS

As an authorised Financial Services Provider, Currency Partners has been licensed by the Financial Services Board in terms of the FAIS Act, to render advice and intermediary services in respect of the following financial products:

Initial _____

Category Description	Advice	Intermediary
Category I		
Securities and Instruments: Derivative instruments	X	X
Deposit Defined in the Banks Act - Exceeding 12 months	X	X
Deposit Defined in the Banks Act - 12 months or less	X	X

I have a contractual relationship with and I am authorised to recommend the products covered in my letters of authority with the following insurers / investment houses: **Investec** (Foreign exchange and deposits); **Mercantile** (Foreign exchange and deposits).

Client Confirmation

I confirm I have read this document and have received a copy of this notice.

Signature

Name of Client

Date / /

CONTACT US

For more information or assistance with your individual requirements, please email info@CurrencyPartners.co.za or call **+27 (0)86 002 2007** (SA) **+27 (0)87 237 4798** (from abroad) to get in touch with our friendly Client Services team.